

ALPS Active Equity Opportunity ETF

Ticker: RFFC

Fact Sheet as of June 30, 2026

Why RFFC?

- **Return on Invested Capital (ROIC)-driven stock selection** — Focuses on companies with strong or improving returns on invested capital.
- **Active, fundamentals-first approach** — Prioritizes security selection over sector or index positioning.
- **Flexible equity opportunity set** — Invests across market caps and includes non-US stocks and Real Estate Investment Trusts (REITs) as appropriate.

View the Fund's
Webpage for the
Latest Data



Performance as of 6/30/2026

Total Returns	1 M	3 M	YTD	1 Y	3 Y	5 Y	10 Y	SI
NAV (Net Asset Value)	1.68%	13.75%	12.88%	26.31%	20.77%	12.30%	12.97%	12.85%
Market Price	1.67%	13.74%	12.87%	26.31%	20.76%	12.32%	12.97%	12.85%
S&P Composite 1500 Index - TR	-0.52%	15.28%	10.89%	22.87%	20.22%	13.01%	15.18%	15.02%

Top 10 Holdings

Alphabet Inc	5.00%
Apple Inc	4.81%
Caterpillar Inc	4.39%
Taiwan Semiconductor Manufacturing Co LTD	4.35%
Microsoft Corp	4.34%
Johnson & Johnson	3.80%
NVIDIA Corp	3.76%
Amazon.com Inc	3.43%
Lam Research Corp	3.28%
State Street INST TR PL MM-PREM	2.92%

As of 6/30/2026, subject to change

Sector Allocations



Information Technology	32.43%
Industrials	13.37%
Health Care	12.53%
Financials	10.97%
Consumer Discretionary	8.78%
Communication Services	8.02%
Energy	4.39%
Consumer Staples	2.61%
Utilities	2.46%
Materials	2.27%
Real Estate	2.17%

As of 6/30/2026, subject to change

Fund Details

Ticker:	RFFC
Listing Exchange:	NYSE Arca
CUSIP:	001620510
Inception Date:	6/6/2016
Primary Benchmark:	S&P Composite 1500 Index
Net Assets:	\$30.16MM
Distribution Frequency:	Quarterly
Total Operating Expenses:	0.48%

Investment Objective

RFFC seeks to provide capital appreciation.

Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit www.alpsfunds.com. Performance includes reinvested distributions and capital gains.

Returns for periods greater than one year are annualized.

Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

Effective 6/1/2023, RiverFront Investment Group, LLC ceased to serve as sub-adviser to the Fund and ALPS Advisors, Inc., the Fund's investment adviser, assumed all responsibility for selecting the Fund's investments. Performance data prior to 6/1/2023 is not indicative of the performance of the Fund as it is currently managed.

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges, and expenses carefully before investing. To obtain a prospectus containing this and other information, call 1-866-759-5679 or visit www.alpsfunds.com. Read the prospectus carefully before investing.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

Diversification does not eliminate the risk of experiencing investment losses.

Due to the nature of the securities in which the Fund invests, the Fund may have relatively high portfolio turnover compared to other funds.

The values of equity securities, such as common stocks and preferred stock, may decline due to general market conditions that are not specifically related to a particular company, such as real or perceived adverse economic conditions, inflation (or expectations for inflation), changes in the general outlook for corporate earnings, changes in interest or currency rates, or adverse investor sentiment generally. Equity securities generally have greater price volatility than fixed-income securities.

Real Estate Investment Trust (REIT): companies that own or finance income-producing real estate across a range of property sectors. Listed REITs have characteristics of both the income potential of bonds and growth potential of stocks.

S&P Composite 1500 Index: combines three leading indices, the S&P 500, the S&P MidCap 400, and the S&P SmallCap 600, to cover approximately 90% of US market capitalization. One may not invest directly in an index.

ALPS Advisors, Inc., registered investment adviser with the SEC, is the investment adviser to the Fund. ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

RIG000436 11/30/2026